

Arotake Tuatahi 2021/22

Q1

Performance Monitoring Report July to September 2021

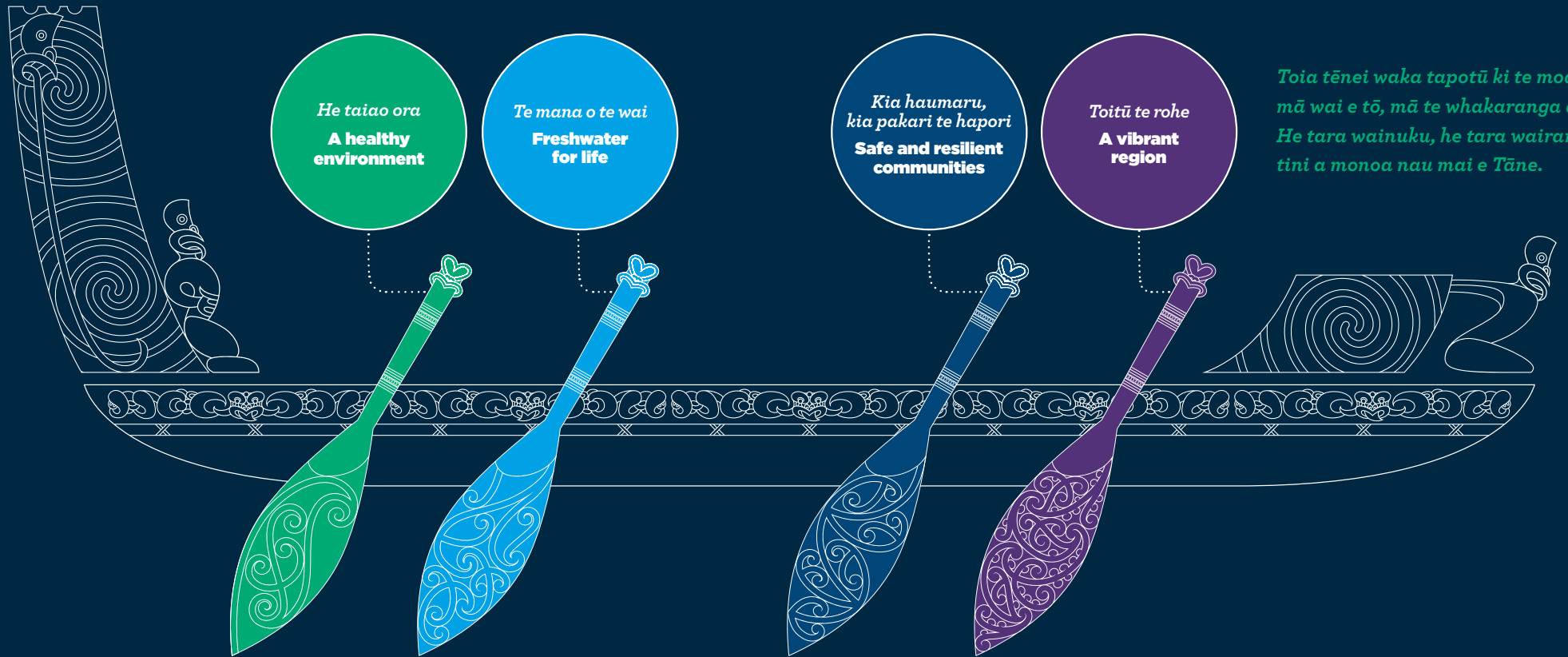


Te rārangi kaupapa

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Whakaekengia tēnei waka **Join our journey**

Kia hoe ngātahi atu ki te pae tawhiti

**Let us paddle in unison to move forward
as one, so we may reach the distant horizon**

Our journey together is all inclusive, as we strive to reach our aspirations for our region. Our four hoe waka represent our community outcomes, a healthy environment, freshwater for life, safe and resilient communities, and a vibrant region, that will ensure we are successful in achieving our vision. We will work together in unison, and not in isolation for the betterment of our people. May we empower each other to reach our distant horizons, together.

OUR WELLBEINGS



He korowai tiaki taiao
Environmental wellbeing



He korowai aroha
Social wellbeing



He korowai whakamana tangata
Economic wellbeing



He korowai mātauranga
Cultural wellbeing

STRATEGIC PRIORITIES

- Assisting the region to recover from COVID-19 while delivering lasting well-being for the community
- Ensuring we deliver on natural resource regulatory reform and our work programmes that deliver results on the ground
- Ensuring the region is adapting to a changing climate and helping to facilitate a transition to a low carbon economy
- Integrating land-use and transport planning in the region, including the intersection with natural hazards, climate change, and natural resource limits
- Working effectively with Māori in partnerships to deliver outcomes for the region
- Making the best use of Bay of Plenty Regional Council's resources to deliver on all of our community outcomes, including supporting others to deliver
- Taking a regional view while recognising important sub-regional variations and ensuring constructive relationships: nationally, regionally, and sub-regionally
- Ensuring effective community participation in decision making and in the delivery of our roles

THE WAY WE WORK

- We look to add value regionally
- We think integrated
- What we do we do well
- We provide great customer service
- We honour our obligations to Māori
- We deliver value to our ratepayers and our customers
- We continually seek opportunities to innovate and improve
- We look to partnerships for best outcomes
- We use robust information, science and technology

OUR VALUES

- Trust, Integrity, Courage, Manaakitanga, Kotahitanga and Whanaungatanga

*Enabling democratic
decision-making*

Te whakarāpopototanga Executive summary

Arotake Tuatahi 2021/22 is Council's performance monitoring report for the first quarter, July to September, of 2021/22.

The report provides detailed information on Regional Council's performance against its Long Term Plan 2021-2031, including levels of service and work delivered. It is the first report against this new plan.

Quarter One saw the return of COVID-19 lockdowns, with the Bay of Plenty experiencing periods in both Alert Levels Four and Three, affecting some functions. Detail on this is contained in the following pages.

Financial performance

Council is forecasting a year end operating deficit of \$12.3 million, which is \$1.3 million lower than the budgeted deficit of \$13.6 million. This is due to forecast operating revenue being \$3.8 million lower than plan, and forecast operating expenditure being \$5.1 million lower than plan. Council is forecasting capital expenditure of \$29 million; this is \$5.5 million lower than budget of \$34.5 million. Council is forecasting capital revenue of \$9.3 million which is \$1.7 million higher than budget due to timing.

Summary financial performance	Year to date \$000			Annual \$000		
	Original budget	Actual	Variance	Original budget	Forecast	Variance
Operating revenue by class	28,788	27,819	(70)	150,097	146,275	(3,822)
Operating expenditure by class	35,534	30,356	4,280	163,735	158,577	5,158
Total operating surplus (deficit)	(6,747)	(2,537)	4,209	(13,637)	(12,302)	1,336
Capital revenue	2,056	1,030	(1,027)	7,562	9,314	1,752
Total surplus (deficit)	(4,690)	(1,508)	3,183	(6,076)	(2,988)	3,088
Capital expenditure	4,898	3,610	1,288	34,572	29,072	(5,501)

Service delivery performance

During 2021/22, we are due to monitor and report on 43 performance measures. At the end of quarter one:

- 22** measures are on track.
- 5** measures are not on track, and are forecast to be at risk of not achieving the target by the end of the year.
- 16** measures are reported on annually or are not due for reporting yet and results will be reported on when data is available.

He whakarāpopoto o te kowheori COVID snapshot

The Bay of Plenty moved to COVID-19 Alert Level Four on 17 August 2021, 11:59pm, and to Alert Level Three on 31 August 2021, 11:59pm.

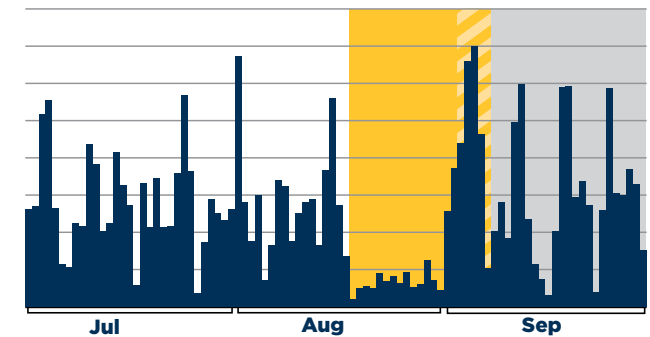
The restrictions associated with these alert levels meant that non-essential field work for several of our activities was postponed or rescheduled.

The Bay of Plenty moved to Alert Level Two on 7 September 11:59pm.

Impact on service delivery levels

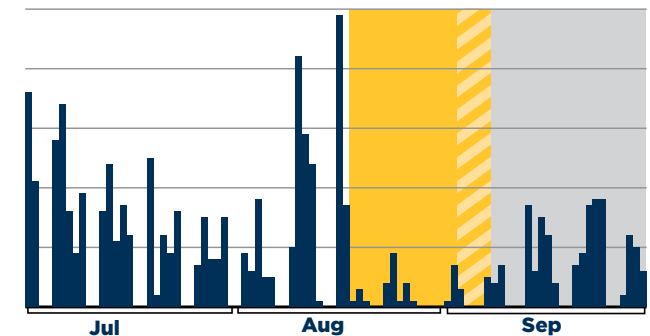
Regional Parks

Regional Parks visitors dropped by 80% during Alert Level Four, rebounding at Alert Level Three to higher numbers than immediately preceding the first level change. It is possible that, due to this rebound, the full-year target will still be achieved.



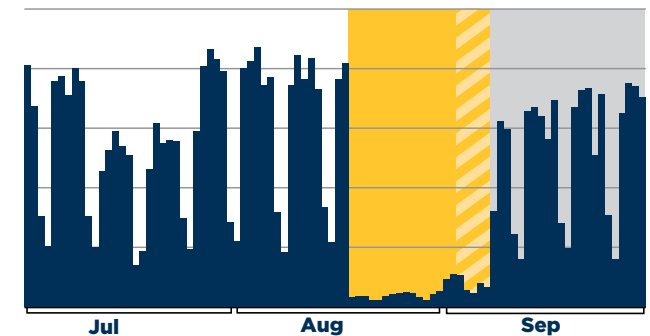
Compliance

Field inspections, except where very high risk, were largely postponed during Alert Levels Four and Three. Non-field work, and response to pollution hotline calls, largely continued. It is likely that this will affect achievement of the full-year target.
























Public transport

Patronage reduced by approximately 95% with the move to Alert Level Four. It is almost certain that this will affect achievement of the full-year target.



KEY: Alert Level 4 Alert Level 3 Alert Level 2

Community outcomes and activities

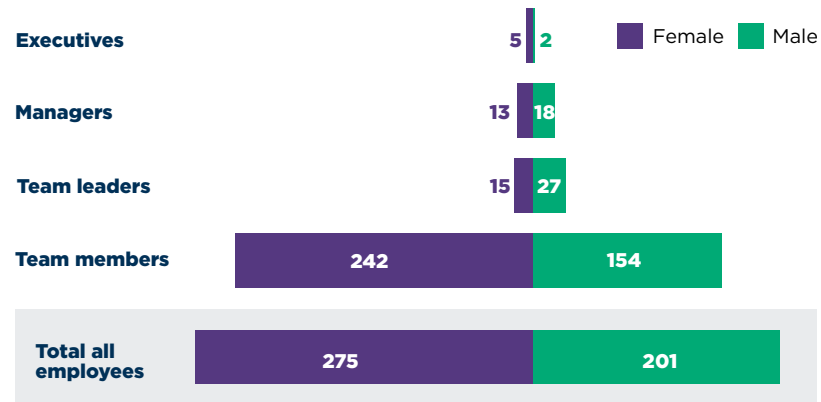
Group of Activities	He taiao ora A healthy environment	Te mana o te wai Freshwater for life	Kia haumarū, kia pakari te hapori Safe and resilient communities	Toitū te rohe A vibrant region
Catchment Management	 Biosecurity  Regional Parks	 Rotorua Lakes  Coastal Catchments		
Flood Protection and Control			 Rivers and Drainage Schemes  Regional Flood Risk Co-ordination	
Resource Regulation and Monitoring	 Air Quality  Resource Consents  Regulatory Compliance		 Maritime Operations	
Transportation and Urban Planning				 Public Transport  Transport and Urban Planning
Democracy, Engagement and Planning	 Environmental Strategy  Policy and Planning			 Māori Policy  Community Engagement  Governance Services  Regional Development
Emergency Management			 Emergency Management	
Support Services	 Technical Support		 Corporate Support	

Note: We have allocated activities to the outcome they primarily contribute to, but the majority of our activities contribute to multiple outcomes.

Pūmanawa tangata

People and culture

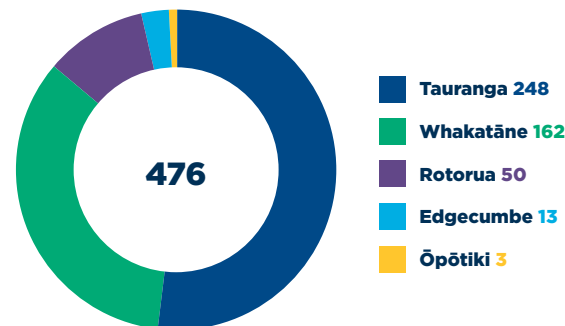
Managerial levels by gender



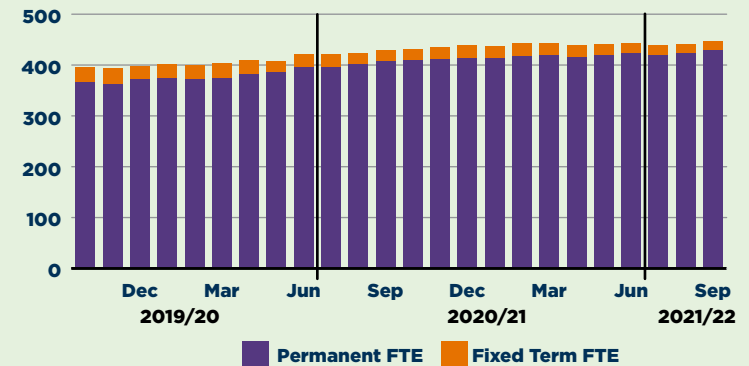
58% of our staff are female, and we have well balanced gender equality at the executive and manager level combined. This is the same overall and executive-manager gender split as at the same quarter last year.

Headcount by location *as at 30 September 2021*

52% of staff are Tauranga-based, with the rest in Whakatāne (34%), Rotorua (11%), and Edgumbe and Ōpōtiki. This is the same split (+/- 1%) as at the same quarter last year.

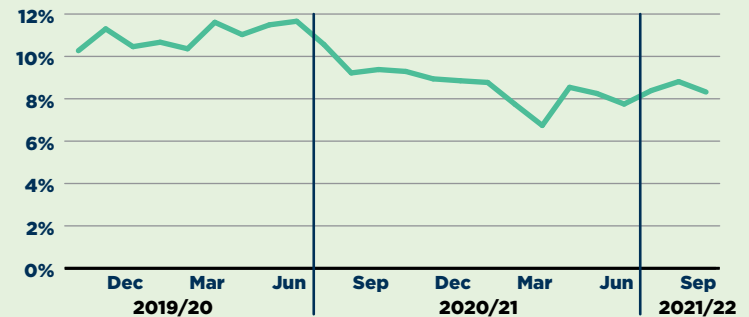


Permanent and fixed term full time employees *last two years*



Our employee headcount (both permanent and fixed term) is 476, which equates to 445.9 Full Time Equivalents. At the same quarter last year, we had 428 Full Time Equivalents.

Rolling staff turnover *last two years*



Staff turnover as at September 2021 was 8.3%, compared to 9.4% at the same time last year. Turnover measures the rate of staff departure over the preceding twelve months.

Ngā whakaarotau rautaki Strategic priorities

We have eight Strategic Priorities and within these we have three Impact Areas



Climate change *see page 10*



Partnerships with Māori *see page 11*



Community participation and constructive relationships *see page 12*



Regulatory reform



Regional recovery



Sub-regional/regional view



Land use and transport



Making best use of our resources

Highlights from the quarter include:

- **Ōpōtiki Harbour Development Project Progress** – Toi Ara Moana a Toi, the Ōpōtiki Harbour Development project, will provide access for larger boats by creating a navigable entrance, enabling Ōpōtiki to become a service and processing base for aquaculture and other marine related industries. Recently, significant rock and hanbar stockpiles, along with 2500 tonnes of steel sheet piles, were delivered to the site. BOPRC have supported the project with engineering advice and a significant funding contribution as well as other support.
- **Kopurererua Realignment Project** – This project aims to restore hydrological, cultural and biodiversity features and functioning to the Kopurererua Stream between Tauriko and Judea. The project is led by TCC, who are also contributing 75% of the funding, in collaboration with BOPRC and Ngāi Tamarawaho. Restoring the Kopurererua, and reducing sediment and E. coli loads, are priorities in this Focus Catchment, as is improving the health of the Waikareao Estuary. The project team have engaged early with an earthworks contractor and stakeholders in detailed design workshops. These workshops will provide guidance as stream realignment design is finalized with works planned to start in the summer.
- **Rangitāiki River Floodwalls** – Work on the East Bank Road floodwall reconstruction continued despite COVID alert levels. The project involved replacing the existing concrete floodwall with a new sheet pile wall and earthen stopbank. The East Bank Road floodwall was one of three identified for strengthening following the Rangitāiki River Scheme Review. Good progress has been made with the sheet pile work now complete and work now moves to installing the drainage and engineering fill.
- **Mount Maunganui Airshed** – The number of Breaches of PM₁₀ National Environmental Standards for Air Quality (NESAQ) are overall showing a downward trend within the Mount Maunganui Airshed. Recently three exceedances were recorded at the Rata Street monitoring site although early investigation into two of these breaches indicates natural sources rather than impacts from Industrial activities. Work continues in relation to emerging contaminants with the completion of the first phase of PFAS investigations around the Whareroa area, the second phase looking at the wider Mount Industrial area is currently underway.



Ngā wā whakaaweawe Impact areas

! Climate change

On 27 June 2019, Regional Councillors acknowledged climate change as a serious issue for the region by declaring a climate emergency and making a commitment to work with the community on transitioning to a low carbon future and adapting to our changed climate. Council adopted a revised climate change action plan for 2021-23 on August 4th.

We recognise the importance of our leadership and advocacy role and we are also committing to:

- Supporting new and additional community initiatives
- Working with other local authorities as they engage with their communities
- Engaging with sectors and industry to find solutions
- Exploring ideas and opportunities with others
- Sharing our information and knowledge

Highlights from the quarter include:

- **Community and iwi-led adaptation to climate change** – As part of our Long Term Plan, we established a fund to support grassroots climate change adaptation planning directly by communities, at their scale. The intent of this is to complement work at the regional or district council level. The maximum value for individual projects is \$15,000, and during quarter one we received four applications for funding. Once implemented, they will help communities adapt to a changing climate.
- **Emissions Reduction and Adaptation** – Staff attended workshops run by the Ministry for the Environment (MfE) around the Emissions Reduction Plan and National Adaptation Plan and the inaugural meeting of a Climate Change Adaptation Network for Local Government, and have been invited to be part of the working group focusing on sharing and supporting adaptation practice carried out by Territorial Authorities and Regional Councils across the country.
- **Climate Change Adaptation Network** – Council were involved in contributing to the Ministry for the Environment (MfE) Local Climate Risk Assessment Guide which has recently been published. A pilot of the regional risk assessment and Kaupapa Māori approach to risk assessments were undertaken this quarter. A Regional working group met to reflect on the pilots and to draft national guidance.



! Partnerships with Māori

The Treaty principles, and the partnership upon which it is founded, are an established part of our local government framework. As Treaty partners, Māori hold a unique role in shaping and contributing to regional leadership and direction.

Collectively, Māori contribute significantly to the region through ownership of notable assets, economic investment initiatives, participation in co-governance arrangements with councils, and a growing influence in natural resource management.

We are focused on continuing to work collaboratively with Māori as key contributors to strategic direction and leadership in the region.

Highlights from the quarter include:

- **Te Rūnanga o Ngāti Manawa (TRONM) Field Trip** – Staff from Land Management, Rivers and Drainage and the Science team were able to arrange a visit to some significant sites of Ngāti Manawa alongside the Rangitāiki River and identify opportunities to support their aspirations. The team were able to share information and provide advice to support the significant restoration projects that TRONM are leading. The Korowai o Papatūānuku Project aims to link, expand and enhance existing, and establish new areas of indigenous vegetation along the Rangitāiki River for recreational use, and establish a sanctuary for native species alongside the river. The project also supports the training and upskilling of local people into permanent work.
- **Relationship Manager Hub** – Council is currently undertaking the development of a new programme to enhance tangata whenua relationships and partnerships. Projects include further developing council's internal capability, including a network of Relationship Managers that have specific relationships with iwi to support knowledge sharing/ coaching, experience and succession planning.
- **Native Planting Day** – Toi Moana staff assisted in organising a planting day for Te Komiro O Te Utuhina, Te Arawa Lakes Trust and a group of enthusiastic tamariki. Over 100 Kanuka, Makura (carex secta), Harakeke and Tī Kouka (cordyline australis) were planted along the lake front at Ōhinemutu. The planting day offered a wonderful education opportunity for tamariki in native biodiversity, habitat creation and indigenous flora and fauna.



! Community participation and constructive relationships

Community participation is a critical element of local government.

We are focusing on ensuring that we engage with a representative sample of the community so that we meet the needs of all our region's communities.

We are also looking to transform how we work with volunteers – we know how valuable the work they deliver is in terms of environmental and cultural wellbeing.

We are looking at increasing participation in our work and decision making by doing more with our communities. This includes getting the public's perspectives and thoughts, but also supporting the public to deliver some of the work we do through community and volunteer groups.

Highlights from the quarter include:

- **Environmental Enhancement Fund (EEF)** – Seven existing applications rolling over from last year, and seven new have already been received. Of total funding of \$300,000 available for the year, \$233,000 has been requested, and we've approved \$128,000 of that. We've received applications from schools, kohanga reo, various environmental trusts, and Tauranga Sunrise Rotary.
- **Community Initiatives Fund (CIF)** – We have also been busy with other community groups, having agreed contracts with three of the five recipients of CIF funding for the year, and two of the three volunteer groups who will be receiving money from the new funding developed as part of our Long Term Plan 2021-2031.
- **Bus Network Refresh** – We consulted on the network connecting Te Puke, Pāpāmoa, and Mt Maunganui with the Tauranga CBD. Over 120 members of the community had their say.
- **Youth Involvement Project (YIP)** – Fourteen local rangatahi have been recruited as changemakers, with 55 applications received. The YIP project will help us better involve youth in our decisions.
- **Local Media** – Community awareness of our work has been a key emphasis, and we've published monthly "Around our Rohe" in the Weekend Sun, Rotorua Weekender, and Whakatāne Beacon.

Ngā whakatutukitanga-a-ratonga

Service delivery performance

Our work is carried out across 21 activities, which are organised into seven groups of activities. These seven groups deliver the services and infrastructure that enable us to deliver on the Community Outcomes outlined in our Strategic Framework. Our specific performance goals are set in our Long Term Plan 2021-2031.

How did we do:

This is our first quarterly performance report for our new Long Term Plan 2021-2031. Our suite of performance goals has been expanded and refined compared to the suite in our previous plan. As this is the first year reporting on a number of new measures, there is increased uncertainty in our forecasting of year-end results, intensified by us being only one quarter in.

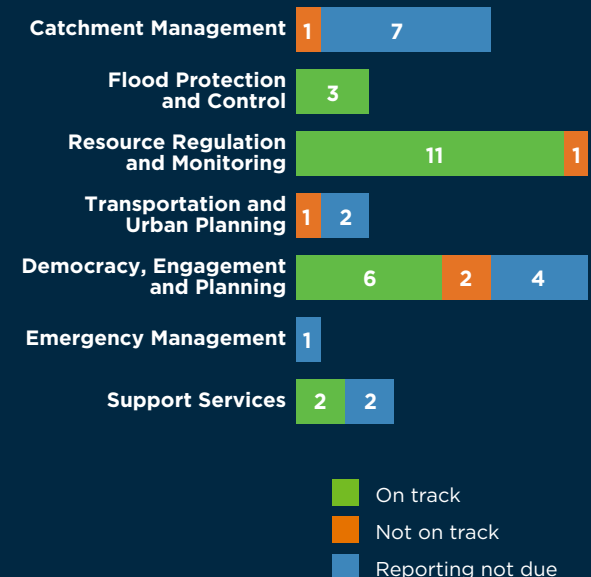
The move to COVID-19 Alert Levels Four and Three affected achievement of some of our service levels, with details provided on page 6.

The majority of performance measures for which we have data are on track. As it is still early in the year, a significant proportion of measures are yet to be reported on, and as such lack a year-end forecast.

- Consent processing volumes continue to hit new heights. Last year saw the highest volume ever processed; three months into the new financial year, we are already 13% up in volume processed, while achieving our timeliness goal.
- Our Maritime Operations team continue to make navigation safe, with 826 out of 827 navigational aids of good quality at the end of the quarter, or 99.9%.
- All twelve Council and Committee agendas in the quarter were made available at least two working days before meetings (100%), despite the uncertainty and change resulting from COVID-19.

Performance Summary – Quarter One

During 2021/22, we are due to monitor and report on 43 performance measures. The graph below depicts our forecast of the end of year results.



Further details regarding all of the measures is provided on the following pages.

Performance Measures 2021/22 – summary of results – Quarter One

Group of Activities	Performance Measure	Full Year Target	Q1	Q2	Q3	Q4	YTD Result	EOY Forecast	How did we perform?
Catchment Management	Monitored rivers and streams that meet the 'Swimmability' requirements (%)	75%	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year, following collation and analysis of results over summer.
	Number of Rotorua Lakes that have achieved the Trophic Level Index (TLI)	3	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year.
	Wallaby populations (outside containment area) where wallabies are no longer detected (%)	70%	N/A				N/A	●	Data not yet available.
	Reduction in wallaby progressive containment area (%)	N/A					N/A	●	Data not yet available.
	Regional Pest Management Plan programmes that are on-track (%)	85%	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year following review of progress against the full RPMP.
	Identified Priority Biodiversity Sites that are actively managed (%)	43%	N/A				N/A	●	Data not yet available.
	Number of visitors to Regional Parks	121,635	24,283				24,283	●	The Regional Parks were visited by 24,283 persons in Quarter One, which is 11% lower than the same quarter last year. The decrease is largely due to the COVID-19 lockdown that came into effect on the 17th of August and resulted in halved visitor numbers for August compared to the last year. Visitor number rebounded after the de-escalation of alert levels, but not enough to make up for the loss of visitors in August.
	Visitor satisfaction for visitors to Regional Parks (%)	75%	N/A				N/A	●	Data not yet available.
Flood Protection and Control	Flood warnings that are given in accordance with the flood warning manual (%)	100%	100%				100%	●	Seven flood warning messages were issued in the region during Quarter One
	Maintenance and repairs completed in accordance with the R&D Asset Management Plan (%)	85%	12%				12%	●	The total budget for maintenance accounted to \$4.47m at the start of the year. By the end of quarter one \$0.46m had been delivered, making up 10.3% of the annual budget
	Renewals completed in accordance with the R&D Asset Management Plan (%)	75%	18%				17%	●	The total budget for renewals and floodworks accounted to \$12.47m at the start of the financial year. By the end of Quarter One \$2.15m had been delivered, making up 17.2% of the annual budget.

● On track ● Not on track ● Reporting not due

Group of Activities	Performance Measure	Full Year Target	Q1	Q2	Q3	Q4	YTD Result	EOY Forecast	How did we perform?
Resource Regulation and Monitoring	Non-notified consents issued within statutory timeframe (%)	95%	95%				95%	●	194 non-notified consents were processed in Quarter One, compared to 172 in the same quarter last year. Notably, last year saw the highest volume of consents processed ever, so this year is already on track to setting a new record.
	Customers satisfied with the resource consents process (%)	84%	82%				82%	●	22 customers have responded to our customer satisfaction survey in Quarter One, compared to 39 in the same quarter last year. 82% are satisfied, with reasons for dissatisfaction including costs, the complexity of the process, and the duration of the process. However, some customers were impressed by the speed of the process, and the excellent collaborative approach taken by Regional Council staff.
	Consent decisions overturned at appeal or judicial review where the proposal has not significantly changed	Nil	Nil				Nil	●	No consent decisions were overturned at appeal or judicial review in Quarter One.
	Compliance assessments conducted as per the annual monitoring programme (%)	90%	51%				51%	●	COVID-19 lockdown had a significant effect on the volume of inspections that could be completed. Because of Level 4 and Level 3 lockdowns, only 783 inspections could be conducted in Quarter One, compared to 1266 for the same quarter last year. As this measure uses the entire reporting period, it is possible that the result will have improved by the end of the financial year as missed inspections are caught up. However, there is a risk that the missed inspections will not be recoverable.
	Urgent complaints made to the pollution hotline responded to within 12 hours (%)	99%	100%				100%	●	There were 21 urgent calls in Quarter One, almost exactly the same as last year (20). All 21 were responded to within timeframe. Calls spanned a variety of topics, including spraydrift, discharges to water, and a truck overturned containing 30 tonnes of molasses.
	Non-urgent complaints made to the pollution hotline responded to within 3 working days (%)	99%	99%				99%	●	There were 875 calls in total in Quarter One, which compared to 837 in the same quarter last year. 343 of these related to odour, and 201 to smoke, with the next most frequent discharges to water and land. 99% were responded to within timeframe.
	Number of exceedances of air quality limits in priority airsheds	<21	1				1	●	There was one exceedance in July 2021 at the Rata Street station, with a level of 62µg/m³.
	Air quality exceedances where investigations started within 10 working days	90%	100%				100%	●	Investigation of the July 2021 Rata Street exceedance started on the same day
	Oil spills in Tauranga responded to within 30 minutes (%)	95%	100%				100%	●	There were eight potential oil spills responded to in Tauranga during the quarter. Oil was only noted in the water on two of those occasions, both being very minor quantities not necessitating a clean up.
	Oil spills outside Tauranga responded to within two hours (%)	95%	100%				100%	●	Only one potential oil spill outside Tauranga needed to be responded to during the quarter - no oil was however discharged to water.
	Navigation aids maintained to "good" quality or higher (%)	95%	99.9%				99.9%	●	By the end of Quarter One, 827 navigational aids were maintained by the Maritime operations team, out of which 826 navigational aids were deemed to be of good quality or better.
	Vessel availability to respond to maritime emergencies in Tauranga, Rotorua Lakes and Whakatāne (%)	95%	100%				100%	●	All sites had at least one vessel available to respond to maritime emergencies, throughout the quarter.

● On track ● Not on track ● Reporting not due

Group of Activities	Performance Measure	Full Year Target	Q1	Q2	Q3	Q4	YTD Result	EOY Forecast	How did we perform?
Transportation and Urban Planning	Number of public transport trips taken in the region	Increase from PY	539,188				539,188	●	Patronage is down approximately 25% for the first quarter of the year. The main reason for this was COVID-19, with the alert level changes in August and September causing a significant decrease in patronage. However, July (before lockdown) was also down on last year, primarily due to July 2020 seeing very high patronage due to free fares at the time.
	Customer satisfaction of bus users	80%	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year when the satisfaction survey is undertaken.
	Planning and policy reports that are rated satisfactory or higher (%) [PT]	100%	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year when the external evaluation is undertaken.
Democracy, Engagement, and Planning	Demographic representativeness of people that take part in formal engagement with Council	0.62	0.52				0.52	●	There was one significant consultation during the period, on the Pāpāmoa bus network, with 123 respondents. It achieved a high representativeness score for gender (0.83), a medium score for ethnicity (0.54), and a medium score for age (0.57). However, as the consultation was geographically focused, it achieved a low (0.14) location score. As more consultation is undertaken through the year, location representativeness will increase.
	Planning and policy reports that are rated satisfactory or higher (%) [DEP]	90%	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year when the external evaluation is undertaken.
	Completed EEF projects that have achieved their measured goals (%)	90%	N/A				N/A	●	No EEF projects completed during Quarter One. As such, it is not possible to calculate the percentage that met their measured goals. We are currently processing 14 EEF applications, and have already had \$233k requested in Quarter One, against a full-year budget of \$300k.
	Return on investment (\$ Council funds committed : \$ of volunteer labour) for EEF projects	1:1.5	N/A				N/A	●	No EEF projects completed during Quarter One. As such, it is not possible to calculate the return on investment for this period, as total volunteer hours are only calculated at completion. We are currently processing 14 EEF applications, and have already had \$233k requested in Quarter One, against a full-year budget of \$300k.
	Planning and policy reports that are rated satisfactory or higher (%) [ES]	90%	N/A				N/A	●	Data not yet available. Results for this measure will be available closer to the end of the financial year when the external evaluation is undertaken.
	Number of shared decision making arrangements operationalised and supported by Council	Increase from PY	N/A				N/A	●	Data not yet available.
	Council reports on Council agendas that are publicly excluded (%)	Reduce from PY	8%				8%	●	This is a new measure. During Quarter One, 4 of 53 relevant items were publicly excluded.
	Council and Committee meetings live streamed to members of the public (%)	90%	17%				17%	●	Two of twelve relevant meetings were livestreamed during the period. Livestreaming of meetings began in September 2021.
	Plan Changes and Policy Statements approved for notification without substantive changes and within timeframe (%)	100%	100%				100%	●	During Quarter One, RPS Change 5 (Kaituna) was approved for notification within timeframe and without substantive changes.

● On track ● Not on track ● Reporting not due

Group of Activities	Performance Measure	Full Year Target	Q1	Q2	Q3	Q4	YTD Result	EOY Forecast	How did we perform?
<i>Democracy, Engagement, and Planning continued</i>	Number of new jobs created through Bay of Connections and/or Bay of Plenty Regional Council	Increase from PY	N/A				N/A	●	Data not yet available.
	Council and Committee agendas that are available at least two working days before meetings (%)	100%	100%				100%	●	All of the twelve agendas due in the quarter were published on time.
	Draft Council and Committee meeting minutes that are published within 10 working days (%)	95%	83%				83%	●	Two out of the twelve meeting minutes due in the quarter were missed. This was due to additional administrative requirements during COVID Alert Levels 4 and 3.
<i>Emergency Management</i>	The level to which the region is prepared for and can effectively respond to an emergency (%)	Increase from PY	N/A				N/A	●	Data not yet available.
<i>Support Services</i>	Real-time deliverable environmental data available online (%)	95%	98.1%				98.1%	●	98.1% of the datasets approved for publication were available online during Quarter One. The result for the same period last year was 97.8%.
	Change in total council emissions compared to prior year (%)	5% reduction from PY	N/A				N/A	●	Data not yet available.
	State of the Environment reports published as per schedule (%)	90%	16%				16%	●	18 SoE reports are scheduled to be published throughout the year, out of which 3 have been published during this quarter.
	Swimming sites monitored for recreational water quality (%)	90%	N/A				N/A	●	Data is not yet available

● On track ● Not on track ● Reporting not due

Ngā whakatutukitanga ahumoni

Financial performance

We have identified making best use of our various financial resources to deliver on our community outcomes, including supporting others to deliver, as a strategic priority. This aligns with our key financial principles of prudence and affordability relating to the Long Term Plan 2021-2031.

We regularly monitor, evaluate and forecast our financials to enable timely, accurate and targeted information for key decision making processes. The following provides an update on our financial performance for the three months ended 30 September 2021.

Statement of revenue and expense by class - operating and capital

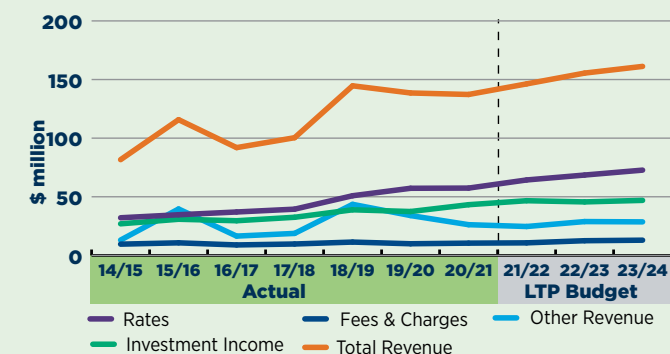
The statement provides year to date financials for the three months ending 30 September 2021, and annual (full year) forecast.

	Year to Date \$000				Annual \$000			
	Budget	Actual	Variance \$		Budget	Forecast	Variance \$	
Operating revenue by class								
Rates	16,146	16,147	1	Higher	64,329	64,331	1	Higher
Dividends	0	64	64	Higher	44,600	44,664	64	Higher
Finance revenue	581	422	(160)	Lower	2,125	1,965	(160)	Lower
Fees and charges	5,589	4,379	(1,210)	Lower	12,728	10,684	(2,043)	Lower
Other revenue	5,573	6,807	1,234	Higher	26,315	24,631	(1,684)	Lower
Total operating revenue	27,889	27,819	(70)	Lower	150,097	146,275	(3,822)	Lower
Operating expenditure by class								
Employee expenses	10,581	10,180	(401)	Lower	45,983	44,513	(1,469)	Lower
Contractors and consultants	13,587	10,646	(2,941)	Lower	64,912	59,899	(5,013)	Lower
Finance costs	875	616	(259)	Lower	3,501	3,242	(259)	Lower
Other expenditure	9,592	8,913	(678)	Lower	49,340	50,924	1,584	Higher
Total operating expenditure	34,635	30,356	(4,280)	Lower	163,735	158,577	(5,158)	Lower
Total operating surplus (deficit)	(6,747)	(2,537)	4,209	Favourable	(13,637)	(12,302)	1,336	Favourable
Total capital revenue	2,056	1,030	(1,027)	Lower	7,562	9,314	1,752	Higher
Total surplus (deficit)	(4,690)	(1,508)	3,183	Favourable	(6,076)	(2,988)	3,088	Favourable
Total capital expenditure	4,898	3,610	1,288	Lower	34,572	29,072	(5,501)	Lower

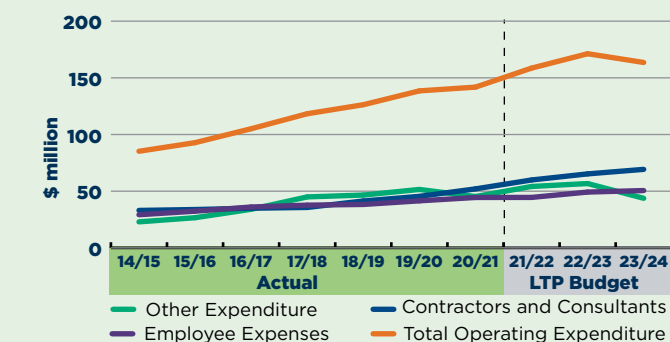
10 years performance

(actual and forecast)

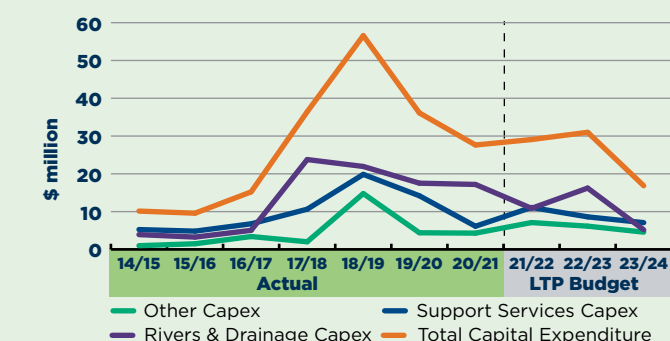
Revenue



Operating expenditure



Capital expenditure



Operating revenue

The graph shows annual revised budget against actuals (months one to three) and financial forecast (month four to twelve).

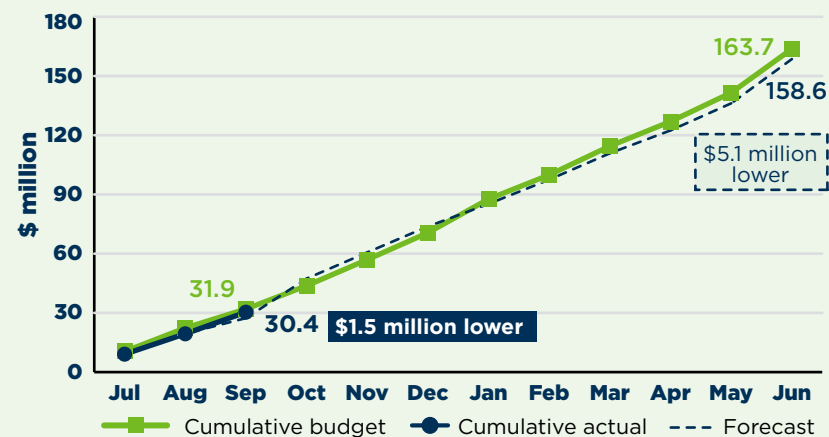


Top 5 variances

Activity	Year to Date \$000				Annual \$000				Full Year forecast variance explanations
	Budget	Actual	Variance		Budget	Forecast	Variance		
Public Transport	5,467	4,218	(1,249)	Lower	22,197	19,999	(2,198)	Lower	Forecast operating revenue is \$2.2m lower than budget. COVID-19 impacts on fare revenue is estimated at \$1.1m, Waka Kotahi have confirmed additional assistance to cushion these impacts up to 30 September. Waka Kotahi is yet to confirm funding for the WBOP Transport Systems Plan and Rotorua's optimisation 'A balanced approach' resulting in lower than planned subsidies of \$0.6m, and lower fare revenue of \$0.5m.
Rotorua Lakes	1,025	625	(400)	Lower	4,102	2,743	(1,359)	Lower	Forecast operating revenue is \$1.4m lower than budget mainly due to the timing of deed funding claims to Ministry for the Environment for the Rotorua Te Arawa Lakes Enhancement programme.
Service Support	4,321	3,831	(491)	Lower	10,303	9,633	(670)	Lower	Forecast operating revenue is \$0.7m lower than budget mainly due to lower fees and charges revenue in data services and science.
Coastal Catchments	2,184	1,947	(236)	Lower	8,930	8,494	(436)	Lower	Forecast operating revenue is \$0.4m lower than budget mainly due to the timing of funding claims to Ministry of Primary Industries for the One Billion Trees programme.
Biosecurity	951	3,432	2,482	Higher	7,288	7,911	624	Higher	Forecast operating revenue is \$0.6m higher than budget mainly due to the timing of funding claims to Ministry of Primary Industries for the National Wilding Conifer programme.

Operating expenditure

The graph shows annual revised budget against actuals (months one to three) and financial forecast (month four to twelve).

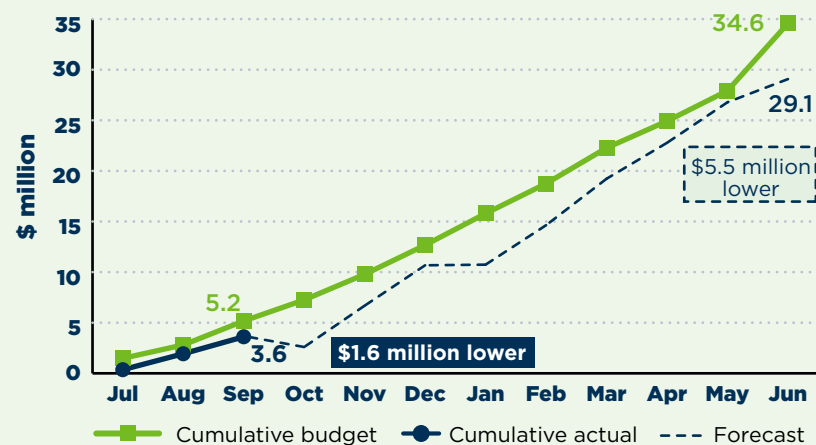


Top 5 variances

Activity	Year to Date \$000				Annual \$000				Full year forecast variance explanations
	Budget	Actual	Variance		Budget	Forecast	Variance		
Passenger Transport	8,777	7,850	927	Lower	38,160	33,936	4,224	Lower	Forecast opex is \$4.2m lower than budget primarily due to the WBOP Transport Systems Plan \$2m and Rotorua's optimisation 'A balanced approach' \$1.6m which are yet to be funded by Waka Kotahi.
Regional Development	183	115	68	Lower	11,648	10,836	813	Lower	Forecast opex is \$0.8m lower than budget. Regional infrastructure grants funding for Rotorua District Council's Lake Tarawera Sewerage project which is anticipated in FY2023/24.
Coastal Catchments	2,228	1,595	632	Lower	10,445	10,130	315	Lower	Forecast opex is \$0.3m lower than budget. The Ministry of Primary Industries funded One Billion Trees Programme is dependent on interest from land owners.
Biosecurity	1,184	1,301	(117)	Higher	8,411	9,341	(929)	Higher	Forecast opex is \$0.9m higher than budget mainly due to the Ministry of Primary Industries funded National Wilding Conifer and National Wallaby Programmes. Staffing levels are also at full capacity creating a slight adverse variance against the budgeted vacancy factor.
Governance Services	1,055	1,035	20	Lower	4,508	4,800	(292)	Higher	Forecast opex is \$0.3m higher than budget due to additional staff resources and regional shared services collaboration.

Capital expenditure

The graph shows annual revised budget against actuals (months one to three) and financial forecast (month four to twelve).



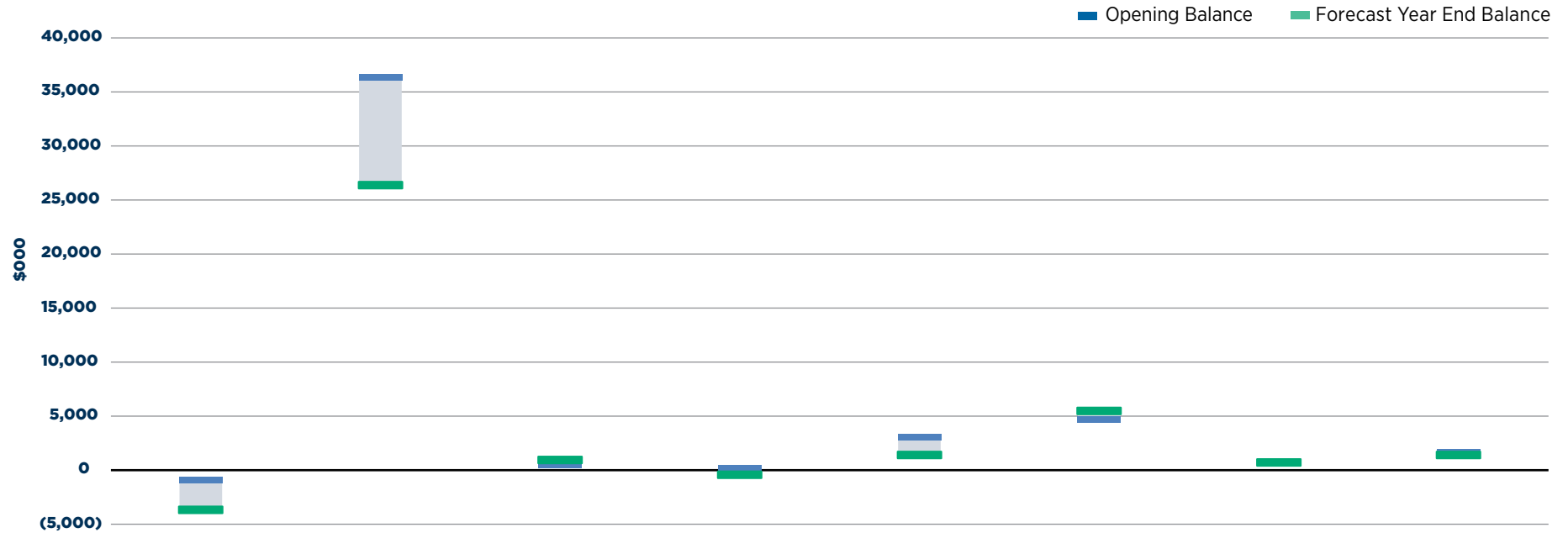
Top 5 variances

Activity	Year to date \$000				Annual \$000				Full year forecast variance explanations
	Budget	Actual	Variance		Budget	Forecast	Variance		
Corporate Support	1,785	1,037	748	Lower	15,604	10,315	5,289	Lower	Forecast capex is \$5.3m lower than budget after a deliverability review. Key projects for 2021/22 include Group Emergency Coordination Centre \$2m, and the Tauranga waterfront development \$0.5m. Draft Annual Plan 2022/23 includes \$1m for the Tauranga waterfront development and Whakatāne office refurbishment \$2m and land purchase \$3m.
Rivers and Drainage Schemes	2,402	2,138	264	Lower	12,487	10,367	2,120	Lower	Forecast capex is \$2.1m lower than budget after a deliverability review and rescheduling of works from FY20/21. Key projects include Kaituna Mole \$2m, Rangitāiki floodway \$3.6m, Rangitāiki spillway \$2.24m, Rangitāiki floodwalls \$0.6m, and Rangitāiki stopbanks \$0.7m. There is a forecast underspend of \$2.6m with the Te Puke Stormwater project which is rescheduled to FY22/23.
Coastal Catchments	0	59	(59)	Higher	2,595	1,856	738	Lower	Forecast capex is \$0.7m lower than budget. Council were advised in June 2021 of the forecast spend of \$0.4m on Te Pourepo o Kaituna Wetland Creation Project depending on the outcome of water level monitoring, this is \$570k below plan. The Rangitāiki Re-connection Project and other Coastal Catchments projects are forecasting spend of \$1.4m which is a \$200k below plan. Both underspends are proposed to offset higher than planned costs for the Pāpāmoa Hills Regional Park upgrade.
Public Transport	482	1	480	Lower	1,167	501	666	Lower	Forecast capex from Waka Kotahi is \$0.7m lower than budget primarily due to Rotorua's optimisation 'A balanced approach' which are yet to be funded by Waka Kotahi.
Regional Parks	0	45	(45)	Higher	1,232	3,967	(2,735)	Higher	Forecast capex is \$2.7m higher than budget. Council were advised in June 2021 the estimate for construction of the design for the Pāpāmoa Hills Upgrade Project approved in December 2020 was \$3.7m, plus \$200k for a footpath linking the new and existing carparks to provide a safe loop for walkers. The higher than planned costs will be offset by additional budget from the forecast underspend in Coastal Catchments \$738k (see below), \$620k budget brought forward from FY22/23, and \$779k budget carried forward from FY20/21. One of three possible contractors will be awarded the contract and will commence works in November 2021, and complete in May 2021.

Forecast funding sources 2021/22

We regularly monitor, evaluate and forecast our funding sources. We hold a number of reserves where monies are held for specific purposes. The graph below demonstrates what we expect the movement in those reserves to be by year end, as at 30 September 2021.

Reserve balance



\$000's	Equalisation	Regional Fund	Passenger Transport	Rotorua Lakes	Rotorua Lakes Deed	River and Drainage Works	Rotorua Air Quality	CDEM
Opening Balance 01 July 2021	(895)	36,344	497	176	3,048	4,701	733	1,627
Approved and Direct Use	(3,407)	(17,587)	(1,544)	0	(1,820)	51	563	0
Forecast Movement	630	7,615	1,981	(602)	167	732	(586)	(226)
Forecast Year End Balance 30 June 2022	(3,672)	26,372	934	(426)	1,395	5,484	710	1,401

* Regional Fund to offset the Equalisation reserve

Commentary – The forecast closing balances for Passenger Transport are: Tauranga \$275k deficit, Rotorua \$978k, Western Bay \$271k, Whakatāne \$40k deficit

Treasury performance update

As at 30 September 2021, Council had \$180.9 million of external loans and \$210 million of investments.

Investments

Our total investment portfolio is \$210 million comprising term deposits \$74.3 million, call accounts / working capital \$40.7 million, Toi Moana Trust \$45 million, Quayside (on-lend) \$50 million. Council has on-lent \$50 million to **Quayside** with a margin of 0.2% charged on top of the cost of the related Local Government Funding Agency (“LGFA”) debt. This is less than the cost of Quaysides’ previous bank funding and is beneficial to the BOPRC Group.



The expected long-run return used for **Toi Moana Trust** is 5.00% however in 2021/22 the targeted return is \$4.5 million, we are considering an additional \$25 million investment through Toi Moana Trust with risks still being assessed.

Total interest revenue to date is \$0.4 million, with a forecast interest revenue of \$2 million which is \$0.2 million lower than budget of \$2.2 million.

The investment portfolio has an average yield of 1.8%. The ‘positive carry’ between the average cost of debt and the average investment return as of 30 September 2021, was 0.45%.

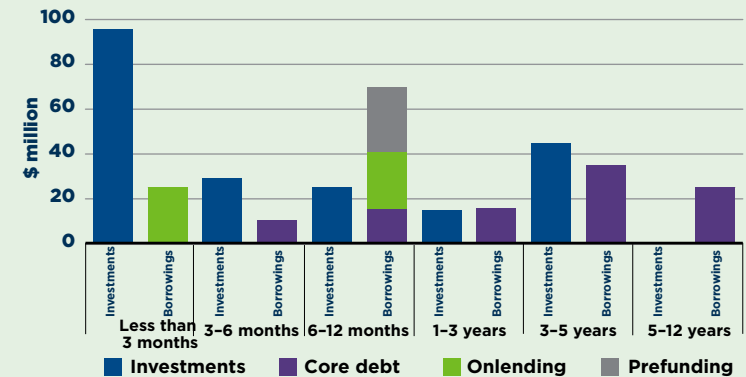
Borrowings

Council has borrowed \$180.9 million from the Local Government Funding Agency with \$50 million on-lent to Quayside. The remaining \$130.9 million has been borrowed to fund capital expenditure, at 30 September 2021 \$101.65 million is core debt, and \$29.25 million is pre-funding invested in term deposits in line with forecast cashflow requirements. An additional \$15 million of borrowings for capital expenditure was approved in Long Term Plan 2021-2031 which has not yet been drawn down. A new facility agreement between Council and Quayside Holdings Limited for the Rangioru Industrial Business Park development is being progressed; \$25 million new borrowings from the LGFA is anticipated in 2021/22 (of the \$100m total forecast in LTP2021-2031), \$40 million is estimated in FY22/23 and \$35 million in FY23/24.

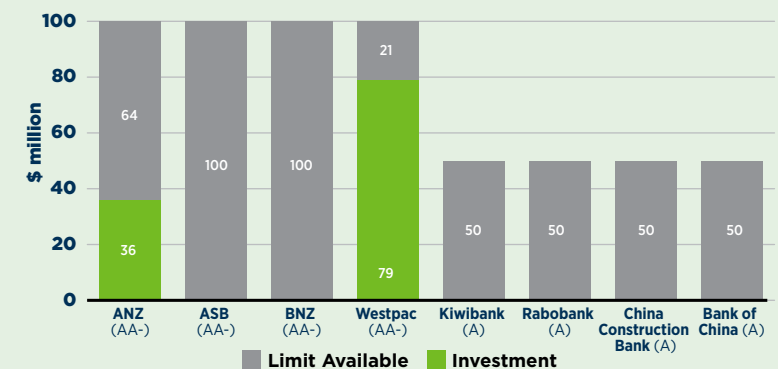
Total interest expense to date is \$0.6 million, with a forecast year end total of \$3.2 million on the \$180.9 million currently borrowed compared to the annual budget of \$3.5 million.

The average interest rate on borrowings is 1.35%.

Maturity profile - borrowings and investments



Investment exposure by counterparty



Te hauora me te haumarutanga

Health and safety

Lead health and safety indicators

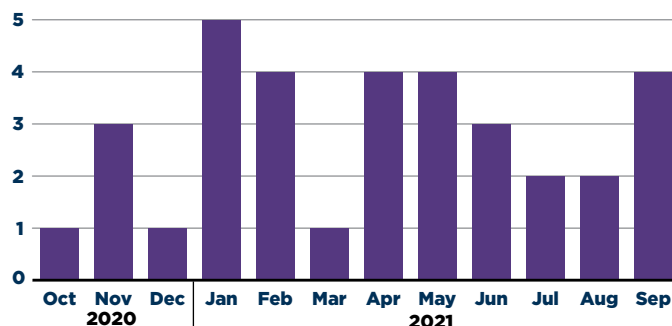
Notifiable events

There were no notifiable events this quarter.

Near misses reported per month

last 12 months

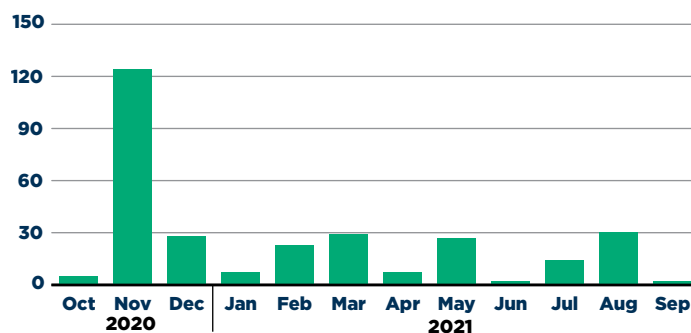
There were eight near misses in Quarter One.



H&S training completed

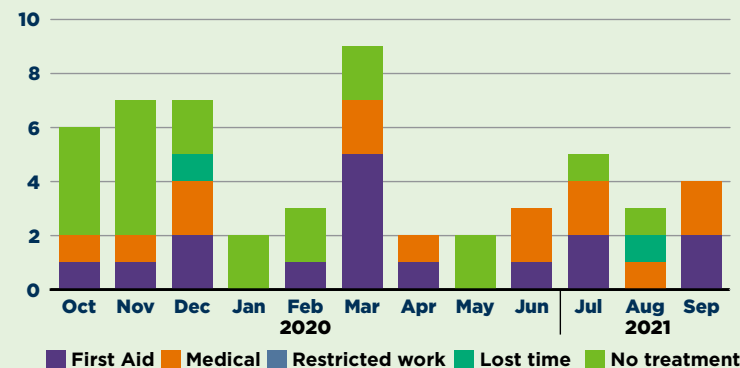
last 12 months

46 people have completed H&S training in Quarter One.



Health and safety lag indicators

Injuries *last 12 months*



There were twelve injuries in Quarter One. Four required first aid, five required medical treatment, and there was one lost-time injury.

Injuries by category *last 12 months*



Over the past twelve months, cuts/lacerations (eight injuries), sprains (five), and insect bites (four) and discomfort (four) have been the most common injury categories.



BAY OF PLENTY
REGIONAL COUNCIL
TOI MOANA